

**APPENDIX C**

**DECLARATION FOR UTILITY  
OR DESIGN PATENT APPLICATION**

Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (8-96)

Approved for use through 9/30/98. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**DECLARATION FOR  
UTILITY OR DESIGN  
PATENT APPLICATION**
☐ Declaration Submitted with Initial Filing
 ☐ Declaration Submitted after Initial Filing

Attorney Docket Number	017795-1-PC
First Named Inventor	Dr. Charles B. Mallon
<b>COMPLETE IF KNOWN</b>	
Application Number	
Filing Date	
Group Art Unit	
Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Production Of Polysaccharide Ethers

(Title of the Invention)

the specification of which

☐ is attached hereto  
OR
☒ was filed on (MM/DD/YYYY)

08.04.98

as United States Application Number or PCT International

Application Number

60/095,263

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119 (a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.
60/095,263	08.04.98	

(Page 1 of 5)

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents and Trademarks, Washington, DC 20231.

(January 1997)

US

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PCT Applicant's Guide – Volume II – National Chapter – US

Please type a plus sign (+) inside this box → ☐PTO/SB/01 (8-96)  
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**DECLARATION**

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Name	Registration Number	Name	Registration Number
See Attached Power of Attorney (Copy)			

☐ Additional registered practitioner(s) named on a supplemental sheet attached hereto.

Direct all correspondence to:

Name	Karen Johnson Wiggins		
Address	Union Carbide Chemicals & Plastics Technology Corporation		
Address	39 Old Ridgebury Road		
City	Danbury	State	CT
Country	U.S.	Telephone	(203) 794-6180
		Fax	(203) 794-7527
ZIP	06817-0001		

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name	Charles	Middle Initial	B.	Family Name	Mallon	Suffix e.g. Jr.	
Inventor's Signature	<i>Charles Bernard Mallon</i>					Date	June 8, 1999

Residence: City	Belle Mead	State	NJ	Country	U.S.	Citizenship	U.S.
Post Office Address	290 Shelburne Place						
Post Office Address							
City	Belle Mead	State	NJ	Zip	08502	Country	U.S.

☒ Additional inventors are being named on supplemental sheet(s) attached hereto

Please type a plus sign (+) inside this box → ☐

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DECLARATION										ADDITIONAL INVENTOR(S) Supplemental Sheet									
Name of Additional Joint Inventor, if any:										<input type="checkbox"/> A petition has been filed for this unsigned inventor									
Given Name	John				Middle Initial	S.		Family Name	Vames				Suffix e.g. Jr.						
Inventor's Signature	<i>John Spero Vames</i>								Date	6/8/99									
Residence: City	Highland Park				State	NJ		Country	U.S.				Citizenship	U.S.					
Post Office Address	10 Lawrence Avenue																		
Post Office Address																			
City	Highland Park				State	NJ		Zip	08904		Country	U.S.							
Name of Additional Joint Inventor, if any:										<input type="checkbox"/> A petition has been filed for this unsigned inventor									
Given Name	John				Middle Initial	I.		Family Name	Sarlis				Suffix e.g. Jr.						
Inventor's Signature	<i>[Signature]</i>								Date	6/22/99									
Residence: City	Laval, Quebec				State			Country	Canada				Citizenship	CA					
Post Office Address	94 Jubinville																		
Post Office Address																			
City	Laval, Quebec				State			Zip	H7G 3E1		Country	Canada							
Name of Additional Joint Inventor, if any:										<input type="checkbox"/> A petition has been filed for this unsigned inventor									
Given Name	Benito				Middle Initial			Family Name	See				Suffix e.g. Jr.						
Inventor's Signature	<i>Benito J See</i>								Date	6/8/99									
Residence: City	Belle Mead				State	NJ		Country	U.S.				Citizenship	U.S.					
Post Office Address	21 Mulford Lane																		
Post Office Address																			
City	Belle Mead				State	NJ		Zip	08502		Country	U.S.							
Name of Additional Joint Inventor, if any:										<input type="checkbox"/> A petition has been filed for this unsigned inventor									
Given Name	David				Middle Initial	M.		Family Name	Trampe				Suffix e.g. Jr.						
Inventor's Signature	<i>David Mark Trampe</i>								Date	6/8/99									
Residence: City	Somerville				State	NJ		Country	U.S.				Citizenship	U.S.					
Post Office Address	19 Crestmont Drive																		
Post Office Address																			
City	Somerville				State	NJ		Zip	08876		Country	U.S.							
<input checked="" type="checkbox"/> Additional inventors are being named on supplemental sheet(s) attached hereto																			

Please type a plus sign (+) inside this box → ☐

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DECLARATION										ADDITIONAL INVENTOR(S) Supplemental Sheet											
Name of Additional Joint Inventor, if any:										<input type="checkbox"/> A petition has been filed for this unsigned inventor											
Given Name		Rathin				Middle Initial				Family Name		Datta				Suffix e.g. Jr.					
Inventor's Signature		<i>Rathin Datta</i>								Date		6/29/99									
Residence: City		Chicago				State		IL		Country		US				Citizenship		US			
Post Office Address		442 West Melrose Street.																			
Post Office Address																					
City		Chicago				State		IL		Zip		60657				Country		US			
Name of Additional Joint Inventor, if any:										<input type="checkbox"/> A petition has been filed for this unsigned inventor											
Given Name						Middle Initial				Family Name						Suffix e.g. Jr.					
Inventor's Signature										Date											
Residence: City						State				Country						Citizenship					
Post Office Address																					
Post Office Address																					
City						State				Zip						Country					
Name of Additional Joint Inventor, if any:										<input type="checkbox"/> A petition has been filed for this unsigned inventor											
Given Name						Middle Initial				Family Name						Suffix e.g. Jr.					
Inventor's Signature										Date											
Residence: City						State				Country						Citizenship					
Post Office Address																					
Post Office Address																					
City						State				Zip						Country					
Name of Additional Joint Inventor, if any:										<input type="checkbox"/> A petition has been filed for this unsigned inventor											
Given Name						Middle Initial				Family Name						Suffix e.g. Jr.					
Inventor's Signature										Date											
Residence: City						State				Country						Citizenship					
Post Office Address																					
Post Office Address																					
City						State				Zip						Country					
Name of Additional Joint Inventor, if any:										<input type="checkbox"/> A petition has been filed for this unsigned inventor											
Given Name						Middle Initial				Family Name						Suffix e.g. Jr.					
Inventor's Signature										Date											
Residence: City						State				Country						Citizenship					
Post Office Address																					
Post Office Address																					
City						State				Zip						Country					

☐ Additional inventors are being named on supplemental sheet(s) attached hereto


**POWER OF ATTORNEY**

TO WHOM IT MAY CONCERN:

UNION CARBIDE CHEMICALS & PLASTICS TECHNOLOGY CORPORATION, a corporation of the State of Delaware, United States of America, having an office at 39 Old Ridgebury Road, Danbury, Connecticut 06817-0001, hereby grants a power of attorney to each of Norman L. Balmer, Paul W. Leuzzi, Gus T. Hampilos, Karen Johnson Wiggins, and Stanley J. Paccione of 39 Old Ridgebury Road, Danbury, Connecticut 06817-0001, to sign on its behalf all papers necessary for effecting the filing and maintenance of patents and applications therefor in all countries throughout the world, including appointments of agents and appointments of representative for service, and for accepting on behalf of Union Carbide Chemicals & Plastics Technology Corporation assignment of inventions, patent applications, patents, industrial design applications, industrial design registrations, priority rights and rights to apply for such patents and registrations in all countries throughout the world.

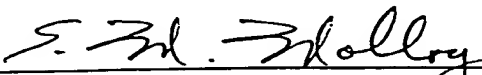
Dated at Danbury, Connecticut, U.S.A. this 25<sup>th</sup> day of March, 1999.

UNION CARBIDE CHEMICALS & PLASTICS  
TECHNOLOGY CORPORATION

By:   
John W. Fitzpatrick  
Assistant Treasurer

I, Ellen M. Molloy, Assistant Secretary of Union Carbide Chemicals & Plastics Technology Corporation, a corporation organized and existing under the laws of the State of Delaware, United States of America, do hereby certify that Mr. John W. Fitzpatrick, who executed the foregoing document, is an Assistant Treasurer of Union Carbide Chemicals & Plastics Technology Corporation, and that the said Mr. John W. Fitzpatrick has authority as an officer of the said Corporation, under resolutions duly adopted by the Board of Directors on December 13, 1988, that are still in full force and effect, to make, sign and execute the foregoing Power of Attorney on behalf of said Corporation.

Dated at Danbury, Connecticut, U.S.A. this 25<sup>th</sup> day of March, 1999.

By:   
Ellen M. Molloy  
Assistant Secretary

